



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:	Carsten-Peter Carstens	Examiner:	Not Yet Assigned
Serial No.:	10/649,547		
Filed:	August 27, 2003	Group Art Unit:	Not Yet Assigned
Entitled:	"Method for Transfer of DNA Segments"	Conf. No.	Not Yet Assigned

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8a**

I hereby certify that this correspondence (and any paper or fee referred to as being enclosed) is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Elizabeth N. Spar

Name of Person Mailing Paper

Signature of Person Mailing Paper

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Enclosed for filing in the above-identified patent application, please find the following documents:

1. Information Disclosure Statement under 37CFR §§ 1.56, 1.97 & 1.98;
2. Copy of Form 1449 filed in parent case; and
3. Return Post Card.

The Commissioner for Patents is hereby authorized to charge any additional fees or credit any overpayment in the total fees to Deposit Account No. 16-0085, Reference No. 25436/1243.  
A duplicate of this transmittal letter is enclosed for this purpose.

Respectfully submitted,

Date: 1/8/04

Name: Kathleen M. Williams  
Registration No.: 34,380  
Customer No.: 27495  
Palmer & Dodge LLP  
111 Huntington Avenue  
Boston, MA 02199-7613  
Tel: 617-239-0100

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98**

Dear Sir:

In accordance with the duty of disclosure under 37 CFR § 1.56, Applicant submits this Information Disclosure Statement pursuant to 37 CFR §§ 1.97 and 1.98 in the above-identified application for consideration by the Patent Office.

A listing of the cited documents is also enclosed, however no paper copies of cited references are included as said references were previously filed in the parent application, Serial No. 09/793,372.

Pursuant to CFR § 1.97(b)(3), because this Statement is being submitted before the first Office Action on the merits, no fee is required.

Applicant does not intend to represent that any of the documents submitted herein are material prior art to this invention or that the list represents an exhaustive search of documents related to this invention.

Applicant respectfully requests that the documents submitted herein be considered and made of record in this application. Respectfully submitted,

Date: 1/8/04Elizabeth N. Spar Reg # 45,123

Name: Kathleen M. Williams

Registration No.: 34,380

Customer No.: 27495

Palmer &amp; Dodge LLP

111 Huntington Avenue

Boston, MA 02199-7613

Tel: 617-239-0100

USPTO Form 1449 U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.

Serial No.

25436/1240

09/793,372

## INFORMATION DISCLOSURE STATEMENT

Applicant(s): Carsten-Peter Carstens

Filing Date: February 26, 2001

Group: 1636

## U.S. PATENT DOCUMENTS

Examiner Initial		Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	1.	5,888,732	March 30, 1999	Hartley, et al.	435	6	
	2.	6,156,509	December 5, 2000	Schellenberger	435	6	

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Class	Subclass	Translation	
							YES	NO

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

	3.	Hoflack, et al. (1997). "Nucleotide Sequence and Characterization of the Cryptic Bacillus thuringiensis Plasmid pGI3 Reveal a New Family of Rolling Circle Replicons," Vol. 179, No. 16, pages 5000-5008.
	4.	Copy of the International Search Report (PCT/US02/04454).

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

\*\*Copies of references not provided at the time of this submission.